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**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

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**FORM 8-K**

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**CURRENT REPORT  
PURSUANT TO SECTION 13 OR 15(d)  
OF THE SECURITIES EXCHANGE ACT OF 1934**

**Date of Report (Date of earliest event reported): March 20, 2017**

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**SORRENTO THERAPEUTICS, INC.**  
(Exact Name of Registrant as Specified in its Charter)

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**Delaware  
(State or Other Jurisdiction  
of Incorporation)**

**001-36150  
(Commission  
File Number)**

**33-0344842  
(IRS Employer  
Identification No.)**

**9380 Judicial Drive  
San Diego, CA 92121  
(Address of Principal Executive Offices) (Zip Code)**

**Registrant's telephone number, including area code: (858) 210-3700**

**N/A  
(Former Name, or Former Address, if Changed Since Last Report)**

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Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
  - Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
  - Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
  - Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))
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**Item 8.01. Other Events.**

On March 20, 2017, Sorrento Therapeutics, Inc. issued the press release attached as Exhibit 99.1 to this Current Report on Form 8-K regarding its mutual understanding and resolution with respect to claims by Wildcat Capital Management LLC.

**Item 9.01. Financial Statements and Exhibits.**

**(d) Exhibits.**

<b>Exhibit Number</b>	<b>Description</b>
99.1	Press release, dated March 20, 2017.

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**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**SORRENTO THERAPEUTICS, INC.**

Date: March 20, 2017

By: /s/ Henry Ji, Ph.D.  
Name: Henry Ji, Ph.D.  
Title: President and Chief Executive Officer

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**Exhibit Index**

<b>Exhibit Number</b>	<b>Description</b>
99.1	Press release, dated March 20, 2017.

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### **Sorrento and Wildcat Announce Resolution**

SAN DIEGO, March 20, 2017 -- Sorrento Therapeutics, Inc. (NASDAQ:SRNE) (the "Company" or "Sorrento"), an antibody-centric, clinical-stage biopharmaceutical company developing new treatments for cancer and other unmet medical needs, and Wildcat Capital Management LLC ("Wildcat"), whose clients currently are major holders of the outstanding common stock of Sorrento, today jointly announced that they have reached a mutual understanding and resolution with respect to Wildcat's claims seeking inspection of documents related to the Company's private placement agreements announced by the Company in April 2016 ("Inspection Demand Action") and Wildcat's derivative action against the Company and certain members of its Board of Directors filed in May 2016 ("Derivative Action").

Since the filings of the above-mentioned suits, the Company and Wildcat have engaged in thorough discussions relating to the steps and measures necessary to undertake in order to increase Sorrento's shareholder value.

Both parties are in agreement that Sorrento has made tremendous progress with respect to its corporate development initiatives, acquisition and accelerated development of high-value clinical programs, and focusing its strategy to drive shareholder value in both the near and long term. By the end of 2017, the Company expects to have several programs in clinical trials from oncology to pain management. As part of its core immuno-oncology business, Sorrento is preparing to file an IND for at least one CAR-T Phase I study.

From an administrative and operational standpoint, the Company has retained a Big 4 audit firm, Deloitte, and enhanced its senior executive team. Dr. Jerome Zeldis, MD, PhD, former Chief Medical Officer at Celgene Corporation, has been appointed as Chief Medical Officer and President of Clinical Development, and is charged with building out Sorrento's clinical and regulatory capacity as it transcends to a clinical stage company. Miranda Toledano has been appointed as Executive Vice President for Corporate Development, and is charged with strategic planning and investor communication.

Wildcat and Sorrento have accordingly agreed to file the necessary papers to withdraw and dismiss Wildcat's claims in the Inspection Demand Action and the Derivative Action. As part of their mutual understanding, Sorrento makes no admission of liability or wrongdoing in connection with the Inspection Demand Action, the Derivative Action or any other matter and will not have any monetary damages. The Company and Wildcat agree to closely work together to further increase shareholder value.

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## **About Sorrento Therapeutics, Inc.**

Sorrento is an antibody-centric, clinical stage biopharmaceutical company developing new treatments for immuno-oncology, inflammation and autoimmune diseases. Sorrento's lead product candidates include immunotherapies focused on the treatment of both solid tumors and hematological malignancies, as well as late stage pain products.

## **Forward-Looking Statements**

This press release and any statements made for and during any presentation or meeting contain forward-looking statements related to Sorrento Therapeutics, Inc. and its subsidiaries under the safe harbor provisions of Section 21E of the Private Securities Litigation Reform Act of 1995 and subject to risks and uncertainties that could cause actual results to differ materially from those projected. Forward-looking statements include statements regarding the status and mutual understanding with respect to the Inspection Demand Action and the Derivative Action; the Company's consideration of certain measures designed to further increase stockholder value; any of the Company's regulatory filings and clinical programs and studies; Sorrento's ability to leverage the expertise of its employees and partners to assist the company in the execution of its strategies; Sorrento's expectations for its technologies and collaborations; Sorrento's and its subsidiaries' prospects; and other matters that are described in Sorrento's most recent periodic reports filed with the Securities and Exchange Commission, including Sorrento's Annual Report on Form 10-K for the year ended December 31, 2015, as amended, and Quarterly Report on Form 10-Q for the quarter ended September 30, 2016, including the risk factors set forth in those filings. Investors are cautioned not to place undue reliance on these forward-looking statements, which speak only as of the date of this release and we undertake no obligation to update any forward-looking statement in this press release except as required by law.

Sorrento® and the Sorrento logo are registered trademarks of Sorrento Therapeutics, Inc.

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Logo - <http://photos.prnewswire.com/prnh/20150105/167173LOGO>

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